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| FORM PTO-1390 (REV 10-2000) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTORNEY'S DOCKET NUMBER HHI-033US | |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C.371 | | | | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/890227 | |
| | | | | PRIORITY DATE CLAIMED 27 January 1999 (27.01.99) | |
| INTERNATIONAL APPLICATION PCT/DE00/00191 | | INTERNATIONAL FILING DATE 21 January 2000 (21.01.00) | | | |
| TITLE OF INVENTION AUDITORY TREATMENT DEVICE | | | | | |
| APPLICANT(S) FOR DO/EO/US Hans-Dieter BOROWSKY, et al. | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | |
| <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C.371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). 4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C 371(c)(2)) (9 sheets). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (unexecuted) (4 Sheets); 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | |
| Items 11. to 16. below concern document(s) or information included: | | | | | |
| <ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 (3 sheets) with Form PTO-1449 (1 sheet) and cited references (2 sheets); 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment (4 sheets) (along with version of markings to show changes (3 sheets)); <ol style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: Transmittal Letter (2 sheets in duplicate); PCT International Published Application (WO 00/45617) (without International Search Report) (15 sheets); PCT International Published Application (WO 00/45617) (with International Search Report) (4 sheets); International Preliminary Examination Report (9 sheets); Check in the amount of \$495 (Filing Fee) based on small entity; Certificate of First Class Mailing (1 sheet); and Return Postcard. | | | | | |

09/890227

IN THE UNITED STATES PATENT DESIGNATED OFFICE (DO/US)
(National Phase of International App.: PCT/DE00/00191, W/O 00/45617)

In re the
application of: **Hans-Dieter BOROWSKY *et al.***

International Application No.: **PCT/DE00/00191**

International Filing Date: **21 January 2000**

U.S. Serial No.: **Not Yet Assigned**

Filed: **Herewith**

For: **AUDITORY TREATMENT DEVICE
(amended)**

Attorney Docket No.: **HHI-033US**

BOX PCT

Commissioner for Patents
Washington, D.C. 20231

Certification Under 37 CFR 1.10

I hereby certify that the attached:

Transmittal Letter (2 sheets in duplicate); Preliminary Amendment (4 sheets (along with version of markings to show changes (3 sheets))); Unexecuted Declaration, Petition and Power of Attorney (4 sheets); English Translation of International Application as filed (9 sheets); PCT International Published Application (WO 00/45617) (without International Search Report) (15 sheets); PCT International Published Application (WO 00/45617) (with International Search Report) (4 sheets); International Preliminary Examination Report (9 sheets); Information Disclosure Statement (3 sheets); Form PTO-1449 (1 sheet); cited references (2 sheets); check in the amount of \$495 (Filing Fee) based on small entity; Certificate of Express Mailing (1 sheet); and Return Postcard are being deposited by me with the United States Postal Service "Express Mail Post Office to Addressee" service, Mailing Label No. **EL 916 825 570 US**, under 37 CFR 1.10 on the date indicated below and is addressed to the Box PCT, Commissioner for Patents, Washington, D.C. 20231.

Date: 27 July 2001

Name: Nelson F. Barros

Signature: Nelson Barros